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PTO/SB/08A (04-03)

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	Gerald R. Stanley
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	11336/514(P03086US)

Sheet 1 of 2

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
S	1	US- 6,531,854 B2	03/11/2003	Hwang	
	2	US- 6,465,990 B2	10/15/2002	Acatrinei et al.	
	3	US- 6,388,429 B1	05/14/2002	Mao	
	4	US- 6,373,734 B1	04/16/2002	Martinelli	
	5	US- 5,657,219	08/12/1997	Stanley	
	6	US- 5,383,109	01/17/1995	Maksimovic et al.	
	7	US- 4,903,181	02/20/1990	Seidel	
	8	US- 4,190,882	02/26/1990	Chevalier et al.	
	9	US- 3,265,930	08/09/1966	Powell	
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner  
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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>C mplete if Kn wn</b>	
		Application Number	Not Yet Assigned
		Filing Date	Herewith
		First Named Inventor	Gerald R. Stanley
		Group Art Unit	Unknown
Examiner Name	Unknown		
Sheet 2 of 2	Attorney Docket Number	11336/514 (P03086US)	

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

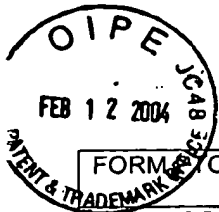
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTES), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	10	"Switched-mode Power Supply Control Circuit," <i>Phillips Semiconductors Product Specification</i> , August 1994	N
	11	"Enhanced High Power Factor Preregulator," <i>Texas Instruments</i> , June 1998.	N
	12	GERALD R. STANLEY and KENNETH M. BRADSHAW, "Precision DC-to-AC Power Conversion by Optimization of the Output Current Waveform – the Half-Bridge Revisited", <i>IEEE Transactions on Power Electronics</i> , Vol. 14, No. 2, March 1999.	N
	13	WALLY E. RIPPEL, "Optimizing Boost Chopper Charger Design, <i>Jet Propulsion Laboratory Proceedings of Power Con 6</i> , May 1979	N

EXAMINER SIGNATURE		DATE CONSIDERED	Oct 04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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FORM O-1449	SERIAL NO. 10/626,433	CASE NO. 11336/514
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE July 24, 2003	GROUP ART UNIT 2838
(use several sheets if necessary)		APPLICANT(S): Gerald R. Stanley

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER INITIAL		
	A1	"Design of H-Bridge Multilevel Active Rectifier for Traction Systems", IEEE Transactions on Industry Applications, Vol. 39, No. 5, September/October 2003, Carlo Cecati, Antonio Dell'Aquila, Marco Liserre and Vito Giuseppe Monopoli.
	A2	"A Passivity-Based Multilevel Active Rectifier with Adaptive Compensation for Traction Applications", IEEE Transactions on Industry Applications, Vol. 39, No. 5, September/October 2003, Carlo Cecati, Antonio Dell'Aquila, Marco Liserre and Vito Giuseppe Monopoli.
	A3	"Multilevel Converters for Large Electric Drives", IEEE Transactions on Industry Applications, Vol. 35, No. 1, January/February 1999, Leon M. Tolbert, Fang Zheng Peng and Thomas G. Habetler.
	A4	"Multilevel Converters - A New Breed of Power Converters", IEEE Transactions on Industry Applications, Vol. 32, No. 3, May/June 1996, Jih-Sheng Lang and Fang Sheng Peng.
	A5	"A New Multilevel PWM Method: A Theoretical Analysis", IEEE Transactions on Industry Applications, Vol. 7, No. 3, July 1992, Giuseppe Carrara, Simone Gardella, Mario Marchesoni, Raffaele Salutati and Giuseppe Sciutto.

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